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|  | SuperCommunity Banks Third Party Risk Agenda |
|  | W Miami, Miami, FL  February 9 – 10, 2023  (Casual attire; jeans welcome) |

# [Wednesday, February 8th]

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| [5:45 PM] | Meet Anat in the Lobby. Anat’s mobile: (916) 717-1710 |
| [6:00 PM] | Option dinner offsite\*. |

# [Thursday, February 9th]

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| [7:30 AM] | Breakfast |
| [8:00 AM] | Roundtable Discussion  • Bring 2-3 good ideas to share with the group  • Bring one best practice  • Discuss an example of what not to do (disasters)  • Bring questions you’d like to ask |
| [10:00 AM] | Break |
| [10:15 AM] | Updates continued |
| [12:00 PM] | Lunch |
| [1:00 PM] | Identification and remediation of third-party issues |
| [2:00 PM] | Assessing emerging risks without going overboard |
| [2:45 PM] | Educating vendors’ relationship managers and key business leaders |
| [3:30 PM] | Adjourn |
| [5:45 PM] | Meet in the lobby. |
| [6:00 PM] | Dinner offsite\*. |

# [Friday, February 10th]

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| [7:30 AM] | Breakfast – Topics for the next meeting |
| [8:00 AM] | Identification of concentration risks |
| [9:00 AM] | Best practices for risk acceptance - review, monitoring, mediation |
| [10:00 AM] | Break |
| [10:15 AM] | M&A best practices |
| [11:00 AM] | Contract management |
| [12:00 PM] | Adjourn |

OTHER TOPICS TO CONSIDER

1.      Identifying and tracking 4th parties (the vendors of our vendors): who they are, what do they do, where are they located, do they have our sensitive data, can they impact the delivery of products/services from the 3rd party

2.      Security and privacy risk:  these risks require specific technical and legal expertise, how are others addressing the probability and impact of security and privacy risk with suppliers

3.      Third-Party Risk department structure and placement within the organization: where does third-party risk report, how many employees, is procurement combined with risk or separate, etc.

4.      How do other organizations address supplier lifecycle activities:  sourcing/procurement, risk assessment and monitoring, contracting, functional performance, contractual performance, billing & payment, issue management, termination

5.      Management and board reporting metrics: KRIs, KPIs, total spend, regulatory comments, etc.

6.      Third-party risk technology platforms:  what platforms are others using and why, how to make processes more efficient, keeping platforms up to date, new platforms in the market.

\*Guests are welcome.